

SCHEDULE A

REVENUE AND EXPENSE SUMMARY

		Recorded in Med School Accounts (a)	Not Recorded in Med School Accounts (b)	Total (c)
Current Revenues				
Tuition and Fees				
M.D. Program	(1)	n 3,712,717 n	n 2,316,553 n	n 6,029,270
Other (identify)				
Ph.D.		104,375	65,125	169,500
		0	0	0
		0	0	0
Total Other	(2)	n 104,375 n	n 65,125 n	n 169,500
Government Appropriations				
Federal	(3)	n 0 n	n 0 n	n 0
State (Adjusted) (from line 93)	(4)	n 43,093,491 n	n 38,275,869 n	n 81,369,360
Local	(5)	n 0 n	n 0 n	n 0
Parent University Approp (from line 102)	(6)	n 0 n	n 0 n	n 0
Grants & Contracts				
Federal Grants/Contracts-Direct	(7)	n 7,049,575 n	n 111,216 n	n 7,160,791
State Grants/Contracts-Direct	(8)	n 10,941,620 n	n 541,166 n	n 11,482,786
Local Grants/Contracts-Direct	(9)	n 8,996,925 n	n 28,383 n	n 9,025,308
Private Grants/Contracts-Direct	(10)	n 5,201,799 n	n 566,094 n	n 5,767,893
Facilities and Administrative Costs	(11)	n 0 n	n 2,261,829 n	n 2,261,829
Medical Service				
Practice Plans	(12)	c 113,659,528 c	c 0 c	c 113,659,528
Network Affiliations	(13)	c 0 c	c 0 c	c 0
MSO	(14)	c 0 c	c 0 c	c 0
Other Medical Service (Identify)				
		0	0	0
		0	0	0
Total Other Medical Service	(15)	c 0 c	c 0 c	c 0
Gifts and Endowment Income				
Gifts	(16)	n 1,012,084 n	n 1,472,091 n	n 2,484,175
Endowments	(17)	n 898,710 n	n 1,732,634 n	n 2,631,344
Hospitals (Medical School Programs)				
University Owned	(18)	n 0 n	n 0 n	n 0
Veterans Administration	(19)	n 45,769 n	n 0 n	n 45,769
Other Federal	(20)	n 0 n	n 0 n	n 0
State or Municipal	(21)	n 21,264,689 n	n 0 n	n 21,264,689
Other Affiliations	(22)	n 3,307,777 n	n 0 n	n 3,307,777
Other Revenues				
Sales and Services	(23)	n 5,219,222 n	n 129,674 n	n 5,348,896
Consulting	(24)	n 0 n	n 0 n	n 0
Interest Income	(25)	n 2,615,142 n	n 3,594,969 n	n 6,210,111
Gains (Losses) on Investments	(26)	n 535,645 n	n 5,470,145 n	n 6,005,790
Leases/Rentals	(27)	n 0 n	n 0 n	n 0
Other: (Identify)				
Misc Revs and Transfers		19,719,932	11,103,919	30,823,851
		0	0	0
Total Other Revenues	(28)	n 19,719,932 n	n 11,103,919 n	n 30,823,851
Total Revenues	(29)	c 247,379,000 c	c 67,669,667 c	c 315,048,667
Total Expenses and Transfers	(30)	c 242,646,236 c	c 58,318,861 c	c 300,965,097
Excess (Shortfall) of Revenues				
Less Expenses and Transfers	(31)	c 4,732,764 c	c 9,350,806	

DEPARTMENT EXPENSES *

	STATE FUNDS	OTHER SOM SOURCES	GRANTS AND CONTRACTS			FUNDS GENERATED BY THE DEPARTMENT				TOTAL Expenses
			Research	Training	Service and Other	Endowment	Practice Plan	Owned and Affiliated Health Care Institutions	Other Dept Sources	
BASIC SCIENCE	n (a)	n (b)	n (c)	n (d)	n (e)	n (f)	c (g)	n (h)	n (i)	c (j)
Anatomy/Cell Biology (32)	2,458,161	91,486	1,654,488	22,621	7,400	55,283	306,124	0	0	4,595,563
Biochemistry (33)	0	0	0	0	0	0	0	0	0	0
Genetics (34)	0	0	0	0	0	0	0	0	0	0
Microbiology/Immunology/Virology (35)	654,177	181,544	1,109,985	0	17,712	0	37,292	0	0	2,000,710
Neurosciences (36)	0	0	0	0	0	0	0	0	0	0
Pathology (37)	0	0	0	0	0	0	0	0	0	0
Pharmacology (38)	821,283	80,048	641,547	12,467	0	0	109,296	0	0	1,664,641
Physiology/Biophysics (39)	972,952	22,532	1,231,670	0	3,223	1,662	412,961	0	0	2,645,000
Other (Identify on separate sheet) (40)	0	0	0	0	0	0	0	0	0	0
TOTAL BASIC SCIENCE DEPTS (41)	4,906,573	375,610	4,637,690	35,088	28,335	56,945	865,673	0	0	10,905,914

* Includes Recorded and Not Recorded Expenses.

DEPARTMENT EXPENSES *

CLINICAL SCIENCE	n (a)	OTHER SOM SOURCES n (b)	GRANTS AND CONTRACTS			FUNDS GENERATED BY THE DEPARTMENT				TOTAL Expenses c (j)
			Research n (c)	Training n (d)	Service and Other n (e)	Endowment n (f)	Practice Plan c (g)	Owned and Affiliated Health Care Institutions n (h)	Other Dept Sources n (i)	
Anesthesiology (42)	1,315,523	22,872	92,799	501	68,171	0	8,448,486	0	0	9,948,352
Dermatology (43)	194,552	56,563	4,784	0	0	0	1,509,273	0	0	1,765,172
Emergency Medicine (44)	411,952	20,585	36,697	154,530	488,838	0	4,145,926	0	0	5,258,528
Family Medicine (45)	3,249,011	4,899	35,874	48,010	3,569,094	66,719	5,347,585	0	0	12,321,192
Medicine (46)	4,560,752	353,894	455,343	3,479	2,927,749	39,092	13,773,803	0	0	22,114,112
Neurology (47)	0	0	0	0	0	0	0	0	0	0
Neurosurgery (48)	0	0	0	0	0	0	0	0	0	0
Ob/Gyn (49)	2,648,398	96,867	186,356	138,987	4,318,210	199,757	14,000,075	0	0	21,588,650
Ophthalmology (50)	758,097	363,251	250,685	0	208,319	0	3,091,326	0	0	4,671,678
Orthopedics (51)	1,103,638	555,102	28,302	60,302	295,016	48,187	5,110,249	0	0	7,200,796
Otolaryngology (52)	0	0	0	0	0	0	0	0	0	0
Pathology (53)	1,287,431	9,845	1,128,979	3,589	482,280	58,288	3,853,501	0	0	6,823,913
Pediatrics (54)	3,709,224	36,994	105,167	0	2,470,285	1,000	12,417,445	0	0	18,740,115
Physical Medicine (55)	0	0	0	0	0	0	0	0	0	0
Psychiatry (56)	4,188,932	83,840	600,995	13,039	736,081	450	3,199,918	0	0	8,823,255
Preventive Medicine/Public Health (57)	0	0	0	0	0	0	0	0	0	0
Radiation Oncology (58)	0	0	0	0	0	0	0	0	0	0

* Includes Recorded and Not Recorded Expenses.

DEPARTMENT EXPENSES *

	STATE FUNDS	OTHER SOM SOURCES	GRANTS AND CONTRACTS			FUNDS GENERATED BY THE DEPARTMENT				TOTAL Expenses
			Research	Training	Service and Other	Endowment	Practice Plan	Owned and Affiliated Health Care Institutions	Other Dept Sources	
CLINICAL SCIENCE	n (a)	n (b)	n (c)	n (d)	n (e)	n (f)	c (g)	n (h)	n (i)	c (j)
Radiology/Diagnostic Radiology (59)	340,375	0	0	0	1,443,381	0	3,475,719	0	0	5,259,475
Surgery (60)	2,429,800	20,379	169,528	2,740	808,215	0	9,912,143	0	0	13,342,805
Urology (61)	0	0	0	0	0	0	0	0	0	0
Other (identify on separate sheet) (62)	0	0	0	0	0	0	0	0	0	0
TOTAL CLINICAL DEPARTMENTS (63)	26,197,685	1,625,091	3,095,509	425,177	17,815,639	413,493	88,285,449	0	0	137,858,043
HOUSESTAFF (64)	0	0	0	0	0	0	0	25,529,577	0	25,529,577
OTHER ACADEMIC UNITS (Identify on separate sheet) (65)	0	0	0	0	0	0	0	0	0	0
INTERDISCIPLINARY CENTERS (Identify on separate sheet) (66)	0	0	0	0	0	0	0	0	0	0

* Includes Recorded and Not Recorded Expenses.

DEPARTMENT EXPENSES *

	STATE FUNDS	OTHER SOM SOURCES	GRANTS AND CONTRACTS			FUNDS GENERATED BY THE DEPARTMENT				TOTAL Expenses
			Research	Training	Service and Other	Endowment	Practice Plan	Owned and Affiliated Health Care Institutions	Other Dept Sources	
ACADEMIC/ADMINISTRATIVE	n (a)	n (b)	n (c)	n (d)	n (e)	n (f)	c (g)	n (h)	n (i)	c (j)
Dean's Office (67)	16,240,237	658,068	867,124	452,733	4,719,877	278,602	7,394,901	660,177	0	31,271,719
Library (68)	2,585,436	3,850	0	0	122,455	0	0	0	0	2,711,741
Continuing Medical Education (69)	15,964	194,231	0	0	0	0	0	0	0	210,195
Vivarium (70)	330,116	0	0	0	0	0	0	0	0	330,116
Other Academic Support (71)	3,566,216	1,033,209	87,515	0	511,846	11,500	0	0	0	5,210,286
Student Services (72)	456,714	1,033,537	0	0	261,331	17,547	0	0	0	1,769,129
Institutional Support Depts (73)	30,253,101	5,999,714	93,785	13,845	263,504	0	578,701	0	0	37,202,650
Scholarships and Fellowships (74)	0	0	0	0	0	0	0	0	0	0
Plant Operation & Maintenance (75)	7,983,188	5,114,705	0	0	5,325	0	0	0	0	13,103,218
Practice Plan Admin ** (76)	142,084	3,384,742	0	0	0	0	31,335,683	0	0	34,862,509
Other (77)	0	0	0	0	0	0	0	0	0	0
TOTAL ACADEMIC/ ADMINISTRATIVE (78)	61,573,056	17,422,056	1,048,424	466,578	5,884,338	307,649	39,309,285	660,177	0	126,671,563
TOTAL EXPENSES (79)	92,677,314	19,422,757	8,781,623	926,843	23,728,312	778,087	128,460,407	26,189,754	0	300,965,097
TRANSFERS (80)	0	0	0	0	0	0	0	0	0	0
TOTAL EXPENSES AND TRANSFERS (81)	92,677,314	19,422,757	8,781,623	926,843	23,728,312	778,087	128,460,407	26,189,754	0	300,965,097

* Includes Recorded and Not Recorded Expenses.

** Includes centralized office costs which are not included in individual departments.

**ANNUAL QUESTIONNAIRE ON
MEDICAL SCHOOL FINANCING**

Fiscal Year 2002-2003
Texas Tech Univ Hlth Sciences Ctr

Name of School

Schedule C

STATE GOVERNMENT APPROPRIATIONS

Total state funding for the general operations of the medical school	(82) n	<u>30,739,282</u>
plus: overhead support not charged to medical school	(83) n	<u>30,447,293</u>
less: "self-generated" funds retained by state		
Tuition and Fees	(84) n	<u>0</u>
Sales and Services	(85) n	<u>0</u>
Facilities and Administrative Costs	(86) n	<u>0</u>
Other	(87) n	<u>0</u>
Total of 84 through 87	(88) n	<u>0</u>
less: parent university assessment returned to state	(89) n	<u>0</u>
Net state funding to medical school (82 plus 83 minus 88 minus 89)	(90) n	<u>61,186,575</u>
Special appropriations	(91) n	<u>20,182,785</u>
Interstate compact funds (such as NEBHE, SREB, and WICHE)	(92) n	<u>0</u>
Total adjusted state support for regular operations (90 through 92)	(93) n	<u><u>81,369,360</u></u>

**ANNUAL QUESTIONNAIRE ON
MEDICAL SCHOOL FINANCING**

Fiscal Year 2002-2003
Texas Tech Univ Hlth Sciences Ctr

Name of School

Schedule D

PARENT UNIVERSITY APPROPRIATIONS

Parent University Appropriation	(94)	n	<u>0</u>
plus: overhead support not charged to medical school	(95)	n	<u>0</u>
less: parent univ assessment *	(96)	n	<u>0</u>
less: "self-generated" funds retained by parent			
Tuition and Fees	(97)	n	<u>0</u>
Sales and Services	(98)	n	<u>0</u>
Facilities and Administrative Costs	(99)	n	<u>0</u>
Other	(100)	n	<u>0</u>
Total of 97 through 100	(101)	n	<u>0</u>
Total adjusted parent/university appropriation (94 plus 95 minus 96 minus 101). If positive, report on Schedule A, line 6; if negative, report zero on Schedule A and add to fund transfers on Schedule B.	(102)	n	<u><u>0</u></u>

The parent assessment represents a mandatory payment or transfer imposed on the medical school for which the school receives varying services in return.

Schedule E

GRANTS AND CONTRACTS - DIRECT

		Recorded in Med School Accounts (a)	Not Recorded in Med School Accounts (b)	Total Generated by Med. School & Affiliates (c)
DIRECT COSTS				
Research				
Federal	(103)	n 5,943,824	n 43,696	n 5,987,520
State	(104)	n 200,106	n 276	n 200,382
Local	(105)	n 73,488	n (23)	n 73,465
Private/Other	(106)	n 2,470,425	n 49,831	n 2,520,256
TOTAL RESEARCH	(107)	n 8,687,843	n 93,780	n 8,781,623
Teaching/Training				
Federal	(108)	n 753,053	n 12,978	n 766,031
State	(109)	n 0	n 237	n 237
Local	(110)	n 0	n 0	n 0
Private/Other	(111)	n 159,945	n 630	n 160,575
TOTAL TEACHING/TRAINING	(112)	n 912,998	n 13,845	n 926,843
Service				
Federal	(113)	n 304,347	n 1,351	n 305,698
State	(114)	n 8,102,296	n 533,445	n 8,635,741
Local	(115)	n 3,410,492	n (6,934)	n 3,403,558
Private/Other	(116)	n 1,217,577	n 26,920	n 1,244,497
TOTAL SERVICE	(117)	n 13,034,712	n 554,782	n 13,589,494
Other Sponsored Programs				
Federal	(118)	n 48,351	n 53,191	n 101,542
State	(119)	n 2,639,218	n 7,208	n 2,646,426
Local	(120)	n 5,512,945	n 35,340	n 5,548,285
Private/Other	(121)	n 1,353,852	n 488,713	n 1,842,565
TOTAL OTHER SPONSORED PROGRAMS	(122)	n 9,554,366	n 584,452	n 10,138,818
TOTAL DIRECT COSTS	(123)	n 32,189,919	n 1,246,859	n 33,436,778

Amounts will automatically transfer to the appropriate columns on lines 7 thru 10, Schedule A.

Schedule E

GRANTS AND CONTRACTS - INDIRECT

		Recorded in Med School Accounts (a)	Not Recorded in Med School Accounts (b)	Total Generated by Med. School & Affiliates (c)
FACILITIES AND ADMINISTRATIVE COSTS				
Research				
Federal	(124)	n 0	n 1,850,221	n 1,850,221
State	(125)	n 0	n 7,322	n 7,322
Local	(126)	n 0	n 0	n 0
Private/Other	(127)	n 0	n 264,071	n 264,071
TOTAL RESEARCH	(128)	n 0	n 2,121,614	n 2,121,614
Teaching/Training				
Federal	(129)	n 0	n 85,699	n 85,699
State	(130)	n 0	n 0	n 0
Local	(131)	n 0	n 0	n 0
Private/Other	(132)	n 0	n 0	n 0
TOTAL TEACHING/TRAINING	(133)	n 0	n 85,699	n 85,699
Service				
Federal	(134)	n 0	n 5,179	n 5,179
State	(135)	n 0	n 38,511	n 38,511
Local	(136)	n 0	n 0	n 0
Private/Other	(137)	n 0	n 8,228	n 8,228
TOTAL SERVICE	(138)	n 0	n 51,918	n 51,918
Other Sponsored Programs				
Federal	(139)	n 0	n 2,598	n 2,598
State	(140)	n 0	n 0	n 0
Local	(141)	n 0	n 0	n 0
Private/Other	(142)	n 0	n 0	n 0
TOTAL OTHER SPONSORED PROGRAMS	(143)	n 0	n 2,598	n 2,598
TOTAL FACILITIES AND ADMINISTRATIVE COSTS	(144)	n 0	n 2,261,829	n 2,261,829

Facilities and Administrative Costs are considered general operating revenues notwithstanding the restricted nature of the source contract. Record them as revenues when they are received.

Amounts will automatically transfer to the appropriate columns on line 11, Schedule A.

SCHEDULE F

STATEMENT OF MEDICAL PRACTICE PLAN
TYPOLOGY

Practice Arrangement Options Are As Follows:

- D = Departmental Practice Model
- F = Federated Practice Model
- M = Multi-Specialty Group Practice

Please note: You must enter a "D", "F", or "M" in column (a) or an "X" column (d). If you make an entry in column (a), you must place an "X" in either column (b) OR column (c). You must make at least one entry on lines 145 thru 164.

ACADEMIC DEPARTMENT		Practice Plan Typology	Financial Data Included in Schedule F	Financial Data Not Available	Does Not Apply/ Not an Academic Department
		(a)	(b)	(c)	(d)
		n	n	n	n
Anesthesiology	(145)	M	X		
Dermatology	(146)	M	X		
Emergency Medicine	(147)	M	X		
Family Medicine	(148)	M	X		
Internal Medicine	(149)	M	X		
Neurology	(150)				X
Neurosurgery	(151)				X
Obstetrics / Gynecology	(152)	M	X		
Ophthalmology	(153)	M	X		
Orthopedics	(154)	M	X		
Otolaryngology / ENT	(155)				X
Pathology (unified department)	(156)	M	X		
Pediatrics	(157)	M	X		
Physical Medicine and Rehabilitation	(158)				X
Psychiatry	(159)	M	X		
Preventative Medicine/Public Health	(160)				X
Radiation Oncology	(161)				X
Radiology (Unified Department)	(162)	M	X		
Surgery	(163)	M	X		
Urology	(164)	M	X		

OTHER ORGANIZATIONAL
UNITS (specify)

	(165)				X
	(166)				X
	(167)				X
	(168)				X
	(169)				X
	(170)				X
	(171)				X
	(172)				X
	(173)				X
	(174)				X

SCHEDULE F

STATEMENT OF MEDICAL PRACTICE PLAN
REVENUES AND EXPENSES

		<u>Total</u>	<u>Fee for Service</u>	<u>Discounted Fee For Service Managed care</u>	<u>Capitated</u>	<u>Other Practice Arrangements</u>	<u>COMMENTS</u>
		(a)	(b)	(c)	(d)	(e)	(f)
Patient Care Revenues							
Blue Shield	(175) c	7,489,687 c	5,950,920 c	1,538,767 c	0 c	0	
Other Commercial	(176) c	15,490,822 c	11,044,431 c	4,446,391 c	0 c	0	
Medicare	(177) c	12,305,909 c	12,305,909 c	0 c	0 c	0	
Medicaid	(178) c	27,906,631 c	17,958,900 c	9,374,213 c	573,518 c	0	
Self-Pay	(179) c	10,685,785 c	10,685,785 c				
Other	(180) c	11,632,151 c	2,797,572 c	3,204,386 c	5,630,193 c	0	
TOTAL PATIENT CARE REVENUES	(181) c	85,510,985 c	60,743,517 c	18,563,757 c	6,203,711 c	0	
Non Professional Fee Revenues	(182) c	28,148,543					
TOTAL REVENUES (*)	(183) c	113,659,528					
EXPENSES							
MALPRACTICE INSURANCE	(184) c	29,507					
SUPPORT AND TRANSFERS							
Medical School Support (Dean's Tax)	(185) c	0					
Department Support	(186) c	0					
Other Taxes and Support to the Medical School (identify)		0					
		0					
Total Other Support to the Medical School	(187) c	0					
Other Taxes and Support/Transfers to the University	(188) c	0					
Other Taxes and Support/Transfers to Affiliated Hospitals	(189) c	0					
COMPENSATION EXPENSES							
Physician Base Salaries and Benefits	(190) c	36,865,429					
Physician Bonuses, Incentives, etc.	(191) c	7,286,421					
Housestaff/Clinical Fellows	(192) c	82,162					
Other Staff	(193) c	36,701,627					
INSTITUTIONAL SERVICE CHARGE	(194) c	2,494,955					
OTHER OPERATING EXPENSES (identify)							
M&O and Travel		23,877,653					
Capital Outlay		690,362					
Official Functions		782,240					
TOTAL OTHER OPERATING EXPENSE	(195) c	25,350,255					
TOTAL EXPENSES	(196) c	108,810,356					
EXCESS (SHORTFALL)	(197) c	4,849,172					

(*) Report TOTAL Practice Plan collections (cash basis or net of adjustments).
Please do not adjust off the books revenues to equal expenses.

SCHEDULE G
HOSPITALS (MEDICAL SCHOOL PROGRAMS)

		UNIVERSITY OWNED		VETERANS ADMIN		OTHER FEDERAL		STATE OR MUNICIPAL		OTHER AFFILIATED		TOTAL
		Recorded	Not Recorded	Recorded	Not Recorded	Recorded	Not Recorded	Recorded	Not Recorded	Recorded	Not Recorded	
		n (a)	n (b)	n (c)	n (d)	n (e)	n (f)	n (g)	n (h)	n (i)	n (j)	n (k)
Physician/Faculty Services	(198)	0	0	0	0	0	0	36,737	0	643,034	0	679,771
Resident and Fellow Stipends	(199)	0	0	45,769	0	0	0	21,227,952	0	2,664,743	0	23,938,464
Other Support (Identify)												
_____		0	0	0	0	0	0	0	0	0	0	0
_____		0	0	0	0	0	0	0	0	0	0	0
_____		0	0	0	0	0	0	0	0	0	0	0
_____		0	0	0	0	0	0	0	0	0	0	0
_____		0	0	0	0	0	0	0	0	0	0	0
Total Other Support	(200)	0	0	0	0	0	0	0	0	0	0	0
TOTAL HOSPITAL (MEDICAL SCHOOL PROGRAMS)	(201)	0	0	45,769	0	0	0	21,264,689	0	3,307,777	0	24,618,235