

SCHEDULE A

REVENUE AND EXPENSE SUMMARY

		Recorded in Med School Accounts (a)	Not Recorded in Med School Accounts (b)	Total (c)
Current Revenues				
Tuition and Fees				
M.D. Program	(1) n	490,325 n	5,150,930 n	5,641,255
Other (identify)				
PhD Program		12,795	166,630	179,425
		0	0	0
		0	0	0
Total Other	(2) n	12,795 n	166,630 n	179,425
Government Appropriations				
Federal	(3) n	0 n	0 n	0
State (Adjusted) (from line 93)	(4) n	44,834,028 n	38,352,525 n	83,186,553
Local	(5) n	0 n	0 n	0
Parent University Approp (from line 102)	(6) n	0 n	0 n	0
Grants & Contracts				
Federal Grants/Contracts-Direct	(7) n	6,758,162 n	143,279 n	6,901,441
State Grants/Contracts-Direct	(8) n	11,813,927 n	2,028,678 n	13,842,605
Local Grants/Contracts-Direct	(9) n	10,271,143 n	60,804 n	10,331,947
Private Grants/Contracts-Direct	(10) n	6,211,673 n	657,354 n	6,869,027
Facilities and Administrative Costs	(11) n	0 n	2,317,821 n	2,317,821
Medical Service				
Practice Plans	(12) c	108,053,889 c	0 c	108,053,889
Network Affiliations	(13) c	0 c	0 c	0
MSO	(14) c	0 c	0 c	0
Other Medical Service (Identify)				
		0	0	0
		0	0	0
Total Other Medical Service	(15) c	0 c	0 c	0
Gifts and Endowment Income				
Gifts	(16) n	2,143,389 n	622,885 n	2,766,274
Endowments	(17) n	823,399 n	861,108 n	1,684,507
Hospitals (Medical School Programs)				
University Owned	(18) n	0 n	0 n	0
Veterans Administration	(19) n	264,791 n	0 n	264,791
Other Federal	(20) n	0 n	0 n	0
State or Municipal	(21) n	17,264,644 n	0 n	17,264,644
Other Affiliations	(22) n	11,379,580 n	0 n	11,379,580
Other Revenues				
Sales and Services	(23) n	5,099,553 n	151,345 n	5,250,898
Consulting	(24) n	0 n	0 n	0
Interest Income	(25) n	3,729,637 n	4,682,959 n	8,412,596
Gains (Losses) on Investments	(26) n	(2,067,331) n	(4,823,268) n	(6,890,599)
Leases/Rentals	(27) n	0 n	0 n	0
Other: (Identify)				
Debt Proceeds		0	11,330,163	11,330,163
Misc Revs / Transfers		17,241,495	2,083,419	19,324,914
Total Other Revenues	(28) n	17,241,495 n	13,413,582 n	30,655,077
Total Revenues	(29) c	244,325,099 c	63,786,632 c	308,111,731
Total Expenses and Transfers	(30) c	236,419,973 c	68,014,126 c	304,434,099
Excess (Shortfall) of Revenues				
Less Expenses and Transfers	(31) c	7,905,126 c	(4,227,494)	

DEPARTMENT EXPENSES *

	STATE FUNDS	OTHER SOM SOURCES	GRANTS AND CONTRACTS			FUNDS GENERATED BY THE DEPARTMENT				TOTAL Expenses
			Research	Training	Service and Other	Endowment	Practice Plan	Owned and Affiliated Health Care Institutions	Other Dept Sources	
BASIC SCIENCE	n (a)	n (b)	n (c)	n (d)	n (e)	n (f)	c (g)	n (h)	n (i)	c (j)
Anatomy/Cell Biology (32)	2,451,578	147,425	1,705,582	38,232	29,328	82,072	137,177	0	0	4,591,394
Biochemistry (33)	0	0	0	0	0	0	0	0	0	0
Genetics (34)	0	0	0	0	0	0	0	0	0	0
Microbiology/Immunology/Virology (35)	684,268	113,045	935,289	0	63,819	0	45,031	0	0	1,841,452
Neurosciences (36)	0	0	0	0	0	0	0	0	0	0
Pathology (37)	0	0	0	0	0	0	0	0	0	0
Pharmacology (38)	812,661	6,861	631,156	0	0	0	87,959	0	0	1,538,637
Physiology/Biophysics (39)	904,656	12,375	1,395,949	0	14,154	2,837	130,849	0	0	2,460,820
Other (Identify on separate sheet) (40)	0	0	0	0	0	0	0	0	0	0
TOTAL BASIC SCIENCE DEPTS (41)	4,853,163	279,706	4,667,976	38,232	107,301	84,909	401,016	0	0	10,432,303

* Includes Recorded and Not Recorded Expenses.

DEPARTMENT EXPENSES *

CLINICAL SCIENCE	n (a)	OTHER SOM SOURCES n (b)	GRANTS AND CONTRACTS			FUNDS GENERATED BY THE DEPARTMENT				TOTAL Expenses c (j)
			Research n (c)	Training n (d)	Service and Other n (e)	Endowment n (f)	Practice Plan c (g)	Owned and Affiliated Health Care Institutions n (h)	Other Dept Sources n (i)	
Anesthesiology (42)	1,523,552	50,061	81,980	0	91,992	0	7,962,074	132	0	9,709,791
Dermatology (43)	278,763	3,270	32,662	0	0	0	1,802,462	0	0	2,117,157
Emergency Medicine (44)	410,081	69,435	8,864	159,678	514,264	0	4,279,385	0	0	5,441,707
Family Medicine (45)	3,274,850	619	29,167	3,500	3,820,092	59,536	5,128,436	47,144	0	12,363,344
Medicine (46)	4,702,794	588,394	884,230	9,258	3,020,792	29,821	11,883,487	172,000	0	21,290,776
Neurology (47)	0	0	0	0	0	0	0	0	0	0
Neurosurgery (48)	0	0	0	0	0	0	0	0	0	0
Ob/Gyn (49)	2,732,313	43,400	85,524	100,163	4,116,685	255,570	15,106,290	76,147	0	22,516,092
Ophthalmology (50)	974,899	362,204	178,592	0	261,231	3,279	2,337,218	0	0	4,117,423
Orthopedics (51)	1,134,668	246,796	49,405	44,562	248,692	153,835	4,624,480	0	0	6,502,438
Otolaryngology (52)	0	0	0	0	0	0	0	0	0	0
Pathology (53)	1,435,582	15,158	1,027,026	0	1,180,348	76,875	2,436,737	32,912	0	6,204,638
Pediatrics (54)	3,945,613	22,378	11,190	0	2,966,407	1,000	12,257,004	125,101	0	19,328,693
Physical Medicine (55)	0	0	0	0	0	0	0	0	0	0
Psychiatry (56)	4,294,064	121,227	823,704	4,230	609,331	898	2,826,188	0	0	8,679,642
Preventive Medicine/Public Health (57)	0	0	0	0	0	0	0	0	0	0
Radiation Oncology (58)	0	0	0	0	0	0	0	0	0	0

* Includes Recorded and Not Recorded Expenses.

DEPARTMENT EXPENSES *

	STATE FUNDS	OTHER SOM SOURCES	GRANTS AND CONTRACTS			FUNDS GENERATED BY THE DEPARTMENT				TOTAL Expenses
			Research	Training	Service and Other	Endowment	Practice Plan	Owned and Affiliated Health Care Institutions	Other Dept Sources	
CLINICAL SCIENCE	n (a)	n (b)	n (c)	n (d)	n (e)	n (f)	c (g)	n (h)	n (i)	c (j)
Radiology/Diagnostic Radiology (59)	747,051	0	0	0	481,786	0	6,009,318	0	0	7,238,155
Surgery (60)	2,349,743	82,408	207,390	5,624	1,572,487	0	9,001,586	3,696	0	13,222,934
Urology (61)	0	0	2,404	0	0	0	0	0	0	2,404
Other (identify on separate sheet) (62)	0	0	0	0	0	0	0	0	0	0
TOTAL CLINICAL DEPARTMENTS (63)	27,803,973	1,605,350	3,422,138	327,015	18,884,107	580,814	85,654,665	457,132	0	138,735,194
HOUSESTAFF (64)	0	0	0	0	0	0	0	23,018,150	0	23,018,150
OTHER ACADEMIC UNITS (Identify on separate sheet) (65)	0	0	0	0	0	0	0	0	0	0
INTERDISCIPLINARY CENTERS (Identify on separate sheet) (66)	0	0	0	0	0	0	0	0	0	0

* Includes Recorded and Not Recorded Expenses.

DEPARTMENT EXPENSES *

		STATE FUNDS	OTHER SOM SOURCES	GRANTS AND CONTRACTS			FUNDS GENERATED BY THE DEPARTMENT				TOTAL Expenses
		n (a)	n (b)	Research n (c)	Training n (d)	Service and Other n (e)	Endowment n (f)	Practice Plan c (g)	Owned and Affiliated Health Care Institutions n (h)	Other Dept Sources n (i)	c (j)
ACADEMIC/ADMINISTRATIVE											
Dean's Office	(67)	11,993,714	582,697	1,077,882	994,698	5,382,945	503,920	9,403,501	812,620	0	30,751,977
Library	(68)	2,601,869	8,859	0	0	97,432	0	0	0	0	2,708,160
Continuing Medical Education	(69)	18,807	248,037	0	0	0	0	0	0	0	266,844
Vivarium	(70)	332,457	0	0	0	0	0	0	0	0	332,457
Other Academic Support	(71)	3,499,787	953,216	5,553	0	940,467	0	0	0	0	5,399,023
Student Services	(72)	491,178	978,849	0	0	276,990	22,318	0	0	0	1,769,335
Institutional Support Depts	(73)	21,699,107	13,809,827	177,218	20,097	1,469,997	1,387	642,887	0	0	37,820,520
Scholarships and Fellowships	(74)	0	0	0	0	0	0	0	0	0	0
Plant Operation & Maintenance	(75)	7,742,486	14,194,733	0	0	54,972	0	0	0	0	21,992,191
Practice Plan Admin **	(76)	164,041	2,925,638	0	0	0	0	28,118,266	0	0	31,207,945
Other	(77)	0	0	0	0	0	0	0	0	0	0
TOTAL ACADEMIC/ ADMINISTRATIVE	(78)	48,543,446	33,701,856	1,260,653	1,014,795	8,222,803	527,625	38,164,654	812,620	0	132,248,452
TOTAL EXPENSES	(79)	81,200,582	35,586,912	9,350,767	1,380,042	27,214,211	1,193,348	124,220,335	24,287,902	0	304,434,099
TRANSFERS	(80)	0	0	0	0	0	0	0	0	0	0
TOTAL EXPENSES AND TRANSFERS	(81)	81,200,582	35,586,912	9,350,767	1,380,042	27,214,211	1,193,348	124,220,335	24,287,902	0	304,434,099

* Includes Recorded and Not Recorded Expenses.

** Includes centralized office costs which are not included in individual departments.

**ANNUAL QUESTIONNAIRE ON
MEDICAL SCHOOL FINANCING**

Fiscal Year 2001-2002
Texas Tech Univ Hlth Sciences Ctr

Name of School

Schedule C

STATE GOVERNMENT APPROPRIATIONS

Total state funding for the general operations of the medical school	(82)	n	<u>32,739,803</u>
plus: overhead support not charged to medical school	(83)	n	<u>30,219,176</u>
less: "self-generated" funds retained by state			
Tuition and Fees	(84)	n	<u>0</u>
Sales and Services	(85)	n	<u>0</u>
Facilities and Administrative Costs	(86)	n	<u>0</u>
Other	(87)	n	<u>0</u>
Total of 84 through 87	(88)	n	<u>0</u>
less: parent university assessment returned to state	(89)	n	<u>0</u>
Net state funding to medical school (82 plus 83 minus 88 minus 89)	(90)	n	<u>62,958,979</u>
Special appropriations	(91)	n	<u>20,227,574</u>
Interstate compact funds (such as NEBHE, SREB, and WICHE)	(92)	n	<u>0</u>
Total adjusted state support for regular operations (90 through 92)	(93)	n	<u><u>83,186,553</u></u>

**ANNUAL QUESTIONNAIRE ON
MEDICAL SCHOOL FINANCING**

Fiscal Year 2001-2002
Texas Tech Univ Hlth Sciences Ctr

Name of School

Schedule D

PARENT UNIVERSITY APPROPRIATIONS

Parent University Appropriation	(94)	n	<u>0</u>
plus: overhead support not charged to medical school	(95)	n	<u>0</u>
less: parent univ assessment *	(96)	n	<u>0</u>
less: "self-generated" funds retained by parent			
Tuition and Fees	(97)	n	<u>0</u>
Sales and Services	(98)	n	<u>0</u>
Facilities and Administrative Costs	(99)	n	<u>0</u>
Other	(100)	n	<u>0</u>
Total of 97 through 100	(101)	n	<u>0</u>
Total adjusted parent/university appropriation (94 plus 95 minus 96 minus 101). If positive, report on Schedule A, line 6; if negative, report zero on Schedule A and add to fund transfers on Schedule B.	(102)	n	<u><u>0</u></u>

The parent assessment represents a mandatory payment or transfer imposed on the medical school for which the school receives varying services in return.

ANNUAL QUESTIONNAIRE ON
MEDICAL SCHOOL FINANCING

Fiscal Year 2001-2002
Texas Tech Univ Hlth Sciences Ctr
Name of School

Schedule E

GRANTS AND CONTRACTS - DIRECT

		Recorded in Med School Accounts (a)	Not Recorded in Med School Accounts (b)	Total Generated by Med. School & Affiliates (c)
DIRECT COSTS				
Research				
Federal	(103)	n 5,960,718	n 109,090	n 6,069,808
State	(104)	n 384,173	n 6,989	n 391,162
Local	(105)	n 0	n 0	n 0
Private/Other	(106)	n 2,828,657	n 61,140	n 2,889,797
TOTAL RESEARCH	(107)	n 9,173,548	n 177,219	n 9,350,767
Teaching/Training				
Federal	(108)	n 440,907	n 15,738	n 456,645
State	(109)	n 0	n 3,072	n 3,072
Local	(110)	n 601,984	n 0	n 601,984
Private/Other	(111)	n 317,056	n 1,285	n 318,341
TOTAL TEACHING/TRAINING	(112)	n 1,359,947	n 20,095	n 1,380,042
Service				
Federal	(113)	n 325,872	n 4,209	n 330,081
State	(114)	n 8,693,462	n 1,896,547	n 10,590,009
Local	(115)	n 4,037,908	n (19,581)	n 4,018,327
Private/Other	(116)	n 1,534,433	n 80,171	n 1,614,604
TOTAL SERVICE	(117)	n 14,591,675	n 1,961,346	n 16,553,021
Other Sponsored Programs				
Federal	(118)	n 30,665	n 14,242	n 44,907
State	(119)	n 2,736,292	n 122,070	n 2,858,362
Local	(120)	n 5,631,251	n 80,385	n 5,711,636
Private/Other	(121)	n 1,531,527	n 514,758	n 2,046,285
TOTAL OTHER SPONSORED PROGRAMS	(122)	n 9,929,735	n 731,455	n 10,661,190
TOTAL DIRECT COSTS	(123)	n 35,054,905	n 2,890,115	n 37,945,020

Amounts will automatically transfer to the appropriate columns on lines 7 thru 10, Schedule A.

Schedule E

GRANTS AND CONTRACTS - INDIRECT

		Recorded in Med School Accounts (a)	Not Recorded in Med School Accounts (b)	Total Generated by Med. School & Affiliates (c)
FACILITIES AND ADMINISTRATIVE COSTS				
Research				
Federal	(124)	n 0	n 1,832,222	n 1,832,222
State	(125)	n 0	n (7)	n (7)
Local	(126)	n 0	n 0	n 0
Private/Other	(127)	n 0	n 411,177	n 411,177
TOTAL RESEARCH	(128)	n 0	n 2,243,392	n 2,243,392
Teaching/Training				
Federal	(129)	n 0	n 20,955	n 20,955
State	(130)	n 0	n 0	n 0
Local	(131)	n 0	n 0	n 0
Private/Other	(132)	n 0	n 0	n 0
TOTAL TEACHING/TRAINING	(133)	n 0	n 20,955	n 20,955
Service				
Federal	(134)	n 0	n 7,841	n 7,841
State	(135)	n 0	n 36,228	n 36,228
Local	(136)	n 0	n 0	n 0
Private/Other	(137)	n 0	n 6,221	n 6,221
TOTAL SERVICE	(138)	n 0	n 50,290	n 50,290
Other Sponsored Programs				
Federal	(139)	n 0	n 3,184	n 3,184
State	(140)	n 0	n 0	n 0
Local	(141)	n 0	n 0	n 0
Private/Other	(142)	n 0	n 0	n 0
TOTAL OTHER SPONSORED PROGRAMS	(143)	n 0	n 3,184	n 3,184
TOTAL FACILITIES AND ADMINISTRATIVE COSTS	(144)	n 0	n 2,317,821	n 2,317,821

Facilities and Administrative Costs are considered general operating revenues notwithstanding the restricted nature of the source contract. Record them as revenues when they are received.

Amounts will automatically transfer to the appropriate columns on line 11, Schedule A.

SCHEDULE F

STATEMENT OF MEDICAL PRACTICE PLAN
TYPOLOGY

Practice Arrangement Options Are As Follows:

D = Departmental Practice Model

F = Federated Practice Model

M = Multi-Specialty Group Practice

Please note: You must enter a "D", "F", or "M" in column (a) or an "X" in column (d). If you make an entry in column (a), you must place an "X" in either column (b) OR column (c). You must make at least one entry on lines 145 thru 164.

ACADEMIC DEPARTMENT		Practice Plan Typology	Financial Data Included in Schedule F	Financial Data Not Available	Does Not Apply/ Not an Academic Department
		(a)	(b)	(c)	(d)
		n	n	n	n
Anesthesiology	(145)	M	X		
Dermatology	(146)	M	X		
Emergency Medicine	(147)	M	X		
Family Medicine	(148)	M	X		
Internal Medicine	(149)	M	X		
Neurology	(150)				X
Neurosurgery	(151)				X
Obstetrics / Gynecology	(152)	M	X		
Ophthalmology	(153)	M	X		
Orthopedics	(154)	M	X		
Otolaryngology / ENT	(155)				X
Pathology (unified department)	(156)	M	X		
Pediatrics	(157)	M	X		
Physical Medicine and Rehabilitation	(158)				X
Psychiatry	(159)	M	X		
Preventative Medicine/Public Health	(160)				X
Radiation Oncology	(161)				X
Radiology (Unified Department)	(162)	M	X		
Surgery	(163)	M	X		
Urology	(164)	M	X		

OTHER ORGANIZATIONAL
UNITS (specify)

	(165)				X
	(166)				X
	(167)				X
	(168)				X
	(169)				X
	(170)				X
	(171)				X
	(172)				X
	(173)				X
	(174)				X

SCHEDULE F

STATEMENT OF MEDICAL PRACTICE PLAN
REVENUES AND EXPENSES

		<u>Total</u>	<u>Fee for Service</u>	<u>Discounted Fee For Service Managed care</u>	<u>Capitated</u>	<u>Other Practice Arrangements</u>	<u>COMMENTS</u>
		(a)	(b)	(c)	(d)	(e)	(f)
Patient Care Revenues							
Blue Shield	(175) c	8,535,810 c	5,162,131 c	3,373,679 c	0 c	0	
Other Commercial	(176) c	17,573,848 c	12,203,860 c	5,357,507 c	12,481 c	0	
Medicare	(177) c	12,801,588 c	12,801,588 c	0 c	0 c	0	
Medicaid	(178) c	27,656,449 c	17,571,956 c	9,524,673 c	559,820 c	0	
Self-Pay	(179) c	11,142,216 c	11,142,216 c				
Other	(180) c	8,810,655 c	380,285 c	3,623,131 c	4,807,239 c	0	
TOTAL PATIENT CARE REVENUES	(181) c	86,520,566 c	59,262,036 c	21,878,990 c	5,379,540 c	0	
Non Professional Fee Revenues	(182) c	21,533,323					
TOTAL REVENUES (*)	(183) c	108,053,889					
EXPENSES							
MALPRACTICE INSURANCE	(184) c	976,955					
SUPPORT AND TRANSFERS							
Medical School Support (Dean's Tax)	(185) c	0					
Department Support	(186) c	0					
Other Taxes and Support to the Medical School (identify)							
TO Endowment		1,500,000					
		0					
Total Other Support to the Medical School	(187) c	1,500,000					
Other Taxes and Support/Transfers to the University							
	(188) c	0					
Other Taxes and Support/Transfers to Affiliated Hospitals							
	(189) c	0					
COMPENSATION EXPENSES							
Physician Base Salaries and Benefits	(190) c	35,049,422					
Physician Bonuses, Incentives, etc.	(191) c	5,597,464					
Housestaff/Clinical Fellows	(192) c	108,130					
Other Staff	(193) c	35,725,378					
INSTITUTIONAL SERVICE CHARGE	(194) c	1,310,827					
OTHER OPERATING EXPENSES (identify)							
M&O and Travel		25,610,737					
Capital Outlay		312,473					
Official Functions		766,776					
TOTAL OTHER OPERATING EXPENSE	(195) c	26,689,986					
TOTAL EXPENSES	(196) c	106,958,162					
EXCESS (SHORTFALL)	(197) c	1,095,727					

(*) Report TOTAL Practice Plan collections (cash basis or net of adjustments).
Please do not adjust off the books revenues to equal expenses.

**SCHEDULE G
HOSPITALS (MEDICAL SCHOOL PROGRAMS)**

		UNIVERSITY OWNED		VETERANS ADMIN		OTHER FEDERAL		STATE OR MUNICIPAL		OTHER AFFILIATED		TOTAL
		Recorded	Not Recorded	Recorded	Not Recorded	Recorded	Not Recorded	Recorded	Not Recorded	Recorded	Not Recorded	
		n (a)	n (b)	n (c)	n (d)	n (e)	n (f)	n (g)	n (h)	n (i)	n (j)	n (k)
Physician/Faculty Services	(198)	0	0	0	0	0	0	666,451	0	7,283,636	0	7,950,087
Resident and Fellow Stipends	(199)	0	0	264,791	0	0	0	16,598,193	0	4,095,944	0	20,958,928
Other Support (Identify)												
_____		0	0	0	0	0	0	0	0	0	0	0
_____		0	0	0	0	0	0	0	0	0	0	0
_____		0	0	0	0	0	0	0	0	0	0	0
_____		0	0	0	0	0	0	0	0	0	0	0
_____		0	0	0	0	0	0	0	0	0	0	0
Total Other Support	(200)	0	0	0	0	0	0	0	0	0	0	0
TOTAL HOSPITAL (MEDICAL SCHOOL PROGRAMS)	(201)	0	0	264,791	0	0	0	17,264,644	0	11,379,580	0	28,909,015